




~~4 MP \$~~

PATENT

Attorney Docket No.: 28110/35905A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ballinger et al.	)	I hereby certify that this paper is being
	)	deposited with the United States Postal
Serial No: 10/029,495	)	Service as first class mail, postage
	)	prepaid, in an envelope addressed to:
Filed: October 26, 2001	)	Commissioner for Patents,
	)	Washington, D.C. 20231 on this date:
Title: Stem Cell Maintenance	)	
Factor Materials and Methods	)	April 12, 2002
	)	
Group Art Unit: To be assigned	)	
	)	
Examiner: To be assigned	)	
	)	Sharon M. Sintich
	)	Reg. No. 48,484
	)	Agent for Applicant

**PRELIMINARY AMENDMENT AND TRANSMITTAL  
OF EXECUTED DECLARATION**

Commissioner for Patents  
Washington, DC 20231

Sir:

This amendment is in response to the Notice to File Missing Parts mailed by the U.S. Patent and Trademark Office on February 12, 2002, in which the Applicants were required to submit substitute drawings and an executed Inventors' Declaration and fee pursuant to 37 C.F.R. 1.16(l). This response is timely filed. However, should any extension and fees be deemed necessary in connection with the filing of this document, the Commissioner is hereby authorized to deduct any such fees deemed due from Marshall, Gerstein & Borun's deposit account number 13-2855.

## AMENDMENT

### IN THE DRAWING

Please replace figures 1A-1H and 2 (9 sheets) as originally filed with substitute formal figures 1A-1H and 2 (9 sheets) filed herewith (**Exhibit A**).

### REMARKS

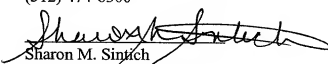
The Patent Office required submission of substitute drawings to comply with the minimum margin requirements set out in 37 C.F.R. § 1.84(g). Substitute formal figures 1A-1H and 2 (9 sheets) are submitted herewith. The information depicted in the substitute drawing is identical to that in the drawing as originally filed. Acceptance of the submitted formal drawings is solicited.

Submitted herewith is a Declaration (**Exhibit B**), 7 documents executed by the inventors, for filing in the above-identified Application. Also enclosed is a copy of the Notice to File Missing Parts, together with our check in the amount of \$65.00 in payment of the missing parts surcharge (\$65.00).

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN  
6300 Sears Tower  
233 South Wacker Drive  
Chicago, Illinois 60606-6402  
(312) 474-6300

By

  
Sharon M. Sintich  
Reg. No. 48,484  
Agent for Applicants

April 12, 2002